



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Carl W. Dirk

Serial No.: 10/688,200

Filed: October 17, 2003

For: CUSTOMIZABLE SPECTRAL PROFILES
FOR FILTERING

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: UTSE:099US

CERTIFICATE OF MAILING
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

January 13, 2004
Date

Michael C. Barrett
Michael C. Barrett

INFORMATION DISCLOSURE STATEMENT

MS DD
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

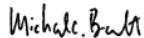
In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTSE:099US.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Michael C. Barrett
Reg. No. 44,523
Attorney for Applicant

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(512) 474-5201

Date: January 13, 2004

Form PTO-1449 (modified)

Atty. Docket No.

Serial No.

UTSE:099US

10/688,200

List of Patents and Publications for Applicant's
 INFORMATION DISCLOSURE STATEMENT
 (Use several sheets if necessary)

Applicant

Carl W. Dirk

Filing Date:

October 17, 2003

Group:

Unknown

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 1

U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|----------|----------------------|-------|-----------|---------------------|
| /LGL/ | A1 | 2002/0012461 | 1/31/02 | MacKinnon and Stange | 382 | 164 | 5/16/01 |
| | A2 | 5,173,808 | 12/22/92 | Auer <i>et al.</i> | 359 | 722 | 4/10/89 |
| | A3 | 5,267,061 | 11/30/93 | Ansley and Haseltine | 359 | 15 | 6/12/92 |
| | A4 | 5,786,591 | 7/28/98 | Asahi | 250 | 226 | 3/11/97 |
| | A5 | 6,031,653 | 2/29/00 | Wang | 359 | 247 | 8/27/98 |
| | A6 | 6,075,872 | 6/13/00 | McGuire | 382 | 100 | 11/17/98 |
| /LGL/ | A7 | 6,154,708 | 11/28/00 | Koachi | 702 | 40 | 5/20/98 |

Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|----------|---------|-------|-----------|--------------------|
| /LGL/ | B1 | WO 01/36864 | 11/20/00 | PCT | | | |
| /LGL/ | B2 | WO 02/063206 | 2/1/02 | PCT | | | |
| /LGL/ | B3 | WO 92/01557 | 7/19/91 | PCT | | | |

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|----------|
| | | |

25361550.1

EXAMINER: /Layla G. Lauchman/

DATE CONSIDERED:

08/27/2008

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



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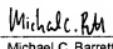
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January 13, 2004

Date



Michael C. Barrett

MS DD
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RE: *U.S. Patent Application No. 10/688,200 entitled "CUSTOMIZABLE SPECTRAL PROFILES FOR FILTERING" – Carl W. Dirk*
Our reference: UTSE:099US
Client reference: UTEP:02-010

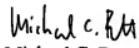
Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A7 and B1-B3.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTSE:099US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,


 Michael C. Barrett
 Reg. No. 44,523

MCB/kmv
Encl.: as noted